

SECURITIES RESEARCH

The "Securities Regulation" chapter in Specialized Legal Research provides an excellent overview of the laws that govern securities transactions, as well as the various resources available on the subject.

Specialized Legal Research, Aspen 4TH FLOOR Reference **KF 240 .S632 1987**

CURRENT STATUTES, CASES, RULINGS, AND FORMS

Federal Securities Law Reporter, CCH 4TH FLOOR **KF 1436.5 .F43**

SEC Docket, CCH
 Current 4TH FLOOR **KF 1436 .A2 S43**

Previous Years 4TH FLOOR **MICROFORMS**

Commodity Futures Law Reporter, CCH 4TH FLOOR **KF 1085 .A6 C65**

Securities and Exchange Commission Decisions and Reports,
 Recent Volumes 4TH FLOOR **KF 1444 .A552**

Earlier Volumes 4TH FLOOR **MICROFORMS**

NO-ACTION LETTERS

SEC No-Action Letters/ Federal Securities No-Action Letters
 (1971-Current), Bloomberg Law **ONLINE (IN LIBRARY USE ONLY)**

SEC No-Action Letters (1971- 2004), Washington Service Bureau 4TH FLOOR **MICROFORMS**

SEC No-Action Letters, Index & Summaries 4TH FLOOR MICROFORMS BOOKCASE **KF 1436 .A2 S42**

SECURITIES AND EXCHANGE COMMISSION RELEASES

Securities Acts Releases (1934-1973), 4TH FLOOR **MICROFORMS**

SEC Docket (Current) 4TH FLOOR **KF1436 .A2 S43**

Earlier Volumes (1973 -) 4TH FLOOR **MICROFORMS**

BLUE SKY LAW (STATE SECURITIES LAW)

Blue Sky Law Reporter, CCH 4TH FLOOR **KF 1068.4 .B58**

Blue Sky Regulation, Lexis 4TH FLOOR **KF 1070 .Z95 S69 2003**

LEGISLATIVE HISTORY

Federal Securities Laws Legislative History, 1933-1982, Bureau of National Affairs
 1ST FLOOR NON-CORE **KF 1066 .A58 1983**

Manz, William H., ed., Corporate *Fraud Responsibility: A Legislative*
History of the Sarbanes-Oxley Act of 2002, William Hein & Co. 4TH FLOOR **KF 9236.5 .C665 2003**

TREATISES AND PRACTICE AIDS

Call Number Ranges
 Accounting Standards 4TH FLOOR **KF 5101-KF 5686**

Blue Sky Law and Regulation 4TH FLOOR **KF 1068-KF 1070**

Broker-Dealers, Investment Companies and Advisers 4TH FLOOR **KF 1071-KF 1083**

Commodities Law and Regulation 4TH FLOOR **KF 1085**

Corporate Finance 4TH FLOOR **KF1439-KF 1454**

Securities Arbitration 4TH FLOOR **KF 1070 and KF 9085**

MAJOR MULTIVOLUME WORKS

The Securities Law Series, West Group
Loss, Louis and Joel Seligman, **Securities Regulation**,
Aspen Law & Business
Sommer, A.A., **Securities Law Techniques**, LexisNexis,

4TH FLOOR

KF 1439 .A1 S43

4TH FLOOR

KF 1439 .L679 1989

4TH FLOOR

KF 1440 .S43 1985

PERIODICALS

Periodicals are arranged alphabetically on Floor 1M

[The Review of Securities and Commodities Regulation](#)

PER R

[Securities Law Review](#)

PER S

[Securities Regulation Law Journal](#)

PER S